

Coulomb-blockade effect in nonlinear mesoscopic capacitors

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We consider an interacting quantum dot working as a coherent source of single electrons. The dot is tunnel coupled to a reservoir and capacitively coupled to a gate terminal with an applied ac potential. At low frequencies, this is the quantum analog of the RC circuit with a purely dynamical response. We investigate the quantized dynamics as a consequence of ac pulses with large amplitude. Within a Keldysh-Green function formalism we derive the time dependent current in the Coulomb blockade regime. Our theory thus extends previous models that considered either noninteracting electrons in nonlinear response or interacting electrons in the linear regime. We prove that the electron emission and absorption resonances undergo a splitting when the charging energy is larger than the tunnel broadening. For very large charging energies, the additional peaks collapse and the original resonances are recovered, though with a reduced amplitude. Quantization of the charge emitted by the capacitor is reduced due to Coulomb repulsion and additional plateaus arise. Additionally, we discuss the differential capacitance and resistance as a function of time. We find that to leading order in driving frequency the current can be expressed as a weighted sum of noninteracting currents shifted by the charging energy.

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I. INTRODUCTION

Real-time manipulation of electrons is one of the greatest achievements in modern nanoelectronics [1–3]. The characteristic setup comprises a submicron-sized cavity or quantum dot tunnel coupled to a reservoir through a quantum point contact. Then, a time dependent driving voltage is applied to a electrostatically coupled metallic gate placed on top of the dot. As a consequence, dc transport is impossible and the system response is purely dynamical. The low-frequency admittance measured with cryogenic low-noise amplifiers can be understood from the serial combination of a charge relaxation resistance and a quantum capacitance [4, 5]. It turns out that in the linear regime (small ac amplitudes) the charge relaxation resistance is quantized for a single spin-polarized channel [6], a theoretical prediction that was experimentally confirmed [1]. For drivings with larger amplitudes (nonlinear regime), the system works as an on-demand single-electron source [2], in analogy with single-photon sources [7, 8], with alternate sequences of electron emission and absorption during a driving period in the fast (GHz) regime. When the voltage pulse has a Lorentzian shape [9–12], recent progress has shown that the holes can be efficiently removed from the stream of excitations when the pulse is applied to an Ohmic contact [13]. These phenomena imply the observation of quantized currents ensured by charge quantization, which might be useful in metrology applications [14] and quantum computation designs [15–17].

Now, tunneling electrons feel repulsive interactions that yield Coulomb blockade, a prominent effect in small-capacitance conductors which manifests itself as an increased resistance of a quantum dot junction at finite bias voltages [18]. In fact, the effect is quite ubiqui-

tous in nanoscale systems and arises not only in quantum dots but also in carbon nanotubes [19], molecular transistors [20], and optical lattices [21]. Therefore, it is natural to investigate the role of Coulomb blockade effects in single-electron sources. This is the goal we want to accomplish in this work. We begin by noticing that electron-electron interactions have been widely analyzed in the quantum RC circuit [22–39]. However, these works have mostly focused on the linear regime (for an exception, see Ref. [35]). The nonlinear regime is interesting because both the capacitance and the charge relaxation resistance acquire an explicit time dependence [40]. This result was found for noninteracting electrons. Here, we give full expressions for the capacitive and the dissipative parts of the current valid in the case of strong interactions that lead to Coulomb blockade effect. We predict that this effect should be visible as a splitting of the dynamical current peaks for both emitted and absorbed electrons. Importantly, the simultaneous emission of pairs of electrons in the non-interacting case is modified to a subsequent emission of two electrons.

The energy diagram of our system is sketched in Fig. 1. We consider a single-level quantum dot (energy ε_0) coupled to a Fermi sea of electrons (Fermi energy E_F). The coupling region between the dot and the reservoir is typically a pinched-off quantum point contact that we depict in Fig. 1 with a tunnel barrier. This part represents the resistive component of the quantum circuit, through which electrons can hop on and off the dot. The position of ε_0 can be tuned with a dc gate potential applied to the point contact [2] (not shown in Fig. 1). Additionally, the dot is coupled to a nearby gate terminal with an externally applied harmonic potential $\varepsilon_{ac}(t)$. This is the capacitive part of the RC circuit. Finally, a charging energy U is required to charge the dot with two electrons

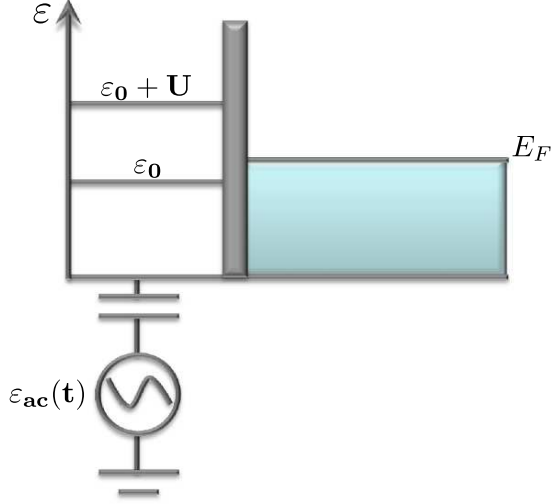


FIG. 1. Schematic representation of a single-electron source comprising a single-level quantum dot coupled capacitively to an ac oscillating signal, $\varepsilon_{ac}(t)$. The dot can exchange electrons with an attached reservoir (Fermi energy E_F) via a tunnel barrier. The dot energy level is denoted with ε_0 and Coulomb repulsion is given by the charging energy U .

having opposite spins. The situation considered in this paper is experimentally relevant for small dots. The case of large dots with many quantum levels was treated in Ref. [22], where a Hartree-Fock approximation was employed to account for Coulomb interactions and screening effects. Here, we consider the Anderson model with a single level and a constant interaction energy. This model has been successfully applied to the Fermi liquid limit connected to the Korrington-Shiba relation [31], unveiling strong departures of the charge relaxation resistance from universality [32].

II. MODEL HAMILTONIAN AND KELDYSH-GREEN FUNCTION FORMALISM

Our theoretical discussion starts with the Anderson Hamiltonian of a mesoscopic capacitor, $H = H_R + H_T + H_D$, where H_R describes the single reservoir, H_T is the tunnel coupling between the reservoir and the quantum dot (QD) and H_D models the QD:

$$H_R = \sum_{k\sigma} \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma}, \quad (1a)$$

$$H_T = \sum_{k\sigma} \left(V_k^* d_\sigma^\dagger c_{k\sigma} + V_k c_{k\sigma}^\dagger d_\sigma \right), \quad (1b)$$

$$H_D = \sum_{\sigma} \varepsilon_\sigma(t) d_\sigma^\dagger d_\sigma + U n_\uparrow n_\downarrow, \quad (1c)$$

with $n_\sigma = d_\sigma^\dagger d_\sigma$ the occupation number operator and $\varepsilon_\sigma(t) = \varepsilon_\sigma + \varepsilon_{ac}(t)$ including both the QD energy level, $\varepsilon_\sigma = \varepsilon_0 + \sigma \Delta_Z/2$ (here Δ_Z denotes the Zeeman splitting due to interaction with an external magnetic field),

and the oscillating potential applied to the gate, $\varepsilon_{ac}(t) = \varepsilon_{ac} \cos \Omega t$, where ε_{ac} is the ac amplitude and Ω is the driving frequency. We emphasize that ε_0 and $\varepsilon_{ac}(t)$ can be tuned independently, as experimentally demonstrated [2], with a dc and ac voltage, respectively, applied to the quantum point contact and the gate electrode: $\varepsilon_0 = -eV_{\text{QPC}}$ and $\varepsilon_{ac} = -eV_g$. This allows us to treat the position of the QD level relative to the Fermi energy and the ac amplitude as separate parameters in our calculations. The sinusoidal drive considered here is convenient because the derivative of the drive is proportional to the frequency and thus easily Fourier decomposed. Different drives such as a step function do not show this nice property and add mathematical difficulties to the formalism. Hence, we restrict ourselves to the monochromatic case.

In the Hamiltonian H , σ labels the electron spin and hereafter we consider the nonmagnetic case ($\Delta_Z = 0$). However, the magnetic ($\Delta_Z \neq 0$) situation can be easily included in our model but we focus on the spin-degenerate case. This is an important difference with the samples of Refs. [1, 2], which operate in the quantum Hall regime to achieve single-channel propagation with no spin degeneracy.

In Eq. (1a), ε_k represents the reservoir energy dispersion with momentum k and $c_{k\sigma}^\dagger (c_{k\sigma})$ creates (annihilates) a conduction band electron. The tunnel Hamiltonian given by Eq. (1b) contains the tunnel amplitude V_k and the fermionic operator $d_\sigma^\dagger (d_\sigma)$, which creates (annihilates) a localized electron in the dot. Finally, $U = e^2/(C_g + C_R)$ in Eq. (1c) is the charging energy, which we also take as a tunable parameter depending on the capacitive strengths with the coupled gate, C_g , and eventually with the reservoir, C_R .

The time dependent field $\varepsilon_{ac}(t)$ induces a purely dynamical charge current $I_R(t)$ that can be measured at the reservoir. Since H commutes with the total charge, I_R is determined from the change rate of the dot occupation, $I(t)$:

$$I_R(t) + I(t) = 0, \quad (2)$$

where $I(t) = e \partial_t \sum_{\sigma} \langle d_\sigma^\dagger d_\sigma \rangle(t)$ and $I_R(t) = e \partial_t \sum_{k,\sigma} \langle c_{k\sigma}^\dagger c_{k\sigma} \rangle(t)$ with e the unit of charge. Here, ∂_t denotes the time derivative. Equation (2) thus represents the electronic charge conservation. In what follows, we focus on $I(t)$ because it can be directly expressed in terms of the QD Green's function without further manipulation, as shown below. The physical current I_R (since it amounts to a flux) can then be obtained immediately from Eq. (2).

Let $G_\sigma^<(t, t') = i \langle d_\sigma^\dagger(t') d_\sigma(t) \rangle$ be the lesser Green's function [41, 42] for the dot operators. Clearly, the QD occupation $\langle n_\sigma(t) \rangle = \langle d_\sigma^\dagger(t) d_\sigma(t) \rangle$ can be written in terms of the lesser Green's function. The current is hence

calculated as

$$\begin{aligned} I(t) &= e\partial_t \sum_{\sigma} \langle n_{\sigma}(t) \rangle = e\partial_t \sum_{\sigma} \left(-iG_{\sigma}^{<}(t, t) \right) \\ &= e\partial_t \sum_{\sigma} \int \frac{d\varepsilon}{2\pi i} G_{\sigma}^{<}(t, \varepsilon), \end{aligned} \quad (3)$$

where in the last line we express the lesser dot Green's function in a mixed time energy notation [43, 44]. This representation is especially useful for nonstationary scattering problems in the adiabatic limit [45, 46]. Its connection with the original double time picture and the corresponding Fourier transform is discussed in Appendix A.

Our regime of interest here is the adiabatic case (small frequency Ω) but arbitrary values of the ac amplitude ε_{ac} . In that case, the Green's function is expected to display small deviations around a frozen state in time characterized by a stationary scattering matrix with time dependent parameters. This approximation is good when $\hbar\Omega$ is the smallest energy scale of our problem. For a prototypical RC circuit [1], $\hbar\Omega \simeq 0.2 \mu\text{eV}$, which is at least fifty times smaller than the tunnel coupling $\Gamma \simeq 10 \mu\text{eV}$. Therefore, the electron interacts only weakly with the ac potential before tunneling into or out of the QD. The frequency expansion reads,

$$G_{\sigma}^{<}(t, \varepsilon) = G_{\sigma}^{<,f}(t, \varepsilon) + \hbar\Omega G_{\sigma}^{<,(1)}(t, \varepsilon) + O(\Omega^2), \quad (4)$$

where the superscript f denotes the frozen approximation and (1) implies the first order in driving frequency Ω . Second-order terms and beyond are neglected, which suffices for the purposes of this work. (Inductive-like effects have been studied in Ref. [47]). We stress that the zeroth-order (frozen) term in Ω is still time dependent. No assumption has been made on the strength of the amplitude, which can be arbitrarily large, driving the system into the nonlinear regime.

Substituting Eq. (4) into Eq. (3), we find similar expansions for the occupation and the current,

$$\begin{aligned} I(t) &\simeq e\partial_t \sum_{\sigma} \int \frac{d\varepsilon}{2\pi i} \left(G_{\sigma}^{<,f}(t, \varepsilon) + \hbar\Omega G_{\sigma}^{<,(1)}(t, \varepsilon) \right) \\ &= e\partial_t \sum_{\sigma} (\langle n_{\sigma}(t) \rangle^f + \langle n_{\sigma}(t) \rangle^{(1)}) \\ &= I^{(1)}(t) + I^{(2)}(t). \end{aligned} \quad (5)$$

From the definition given by Eq. (3), it follows that the leading order for the current is first order in Ω . To be consistent, we therefore keep the current terms in Eq. (5) up to second order in Ω . The physical implication says that $I^{(1)}$ represents a capacitive-like contribution while $I^{(2)}$ is understood as a dissipative component [40].

This interpretation can be substantiated by introducing a quantum RC circuit model (a capacitor and a resistor in a series with an applied ac potential) with time dependent capacitance and resistance functions,

$$\begin{aligned} eI(t) &\simeq -C_{\partial}(t)\partial_t\varepsilon_{ac}(t) \\ &\quad + R_{\partial}(t)C_{\partial}(t)\partial_t(C_{\partial}(t)\partial_t\varepsilon_{ac}(t)). \end{aligned} \quad (6)$$

This relation is valid at low frequency for both the linear and the nonlinear regimes. In Eq. (6) $C_{\partial}(t)$ is the differential capacitance and $R_{\partial}(t)$ the differential resistance. Both depend on time because they constitute a generalization of the linear-response quantum capacitance C_q and charge relaxation resistance R_q [4] to the nonlinear ac transport regime [40]. Combining Eq. (5) with Eq. (6), we can find expressions for $C_{\partial}(t)$ and $R_{\partial}(t)$. Therefore, our goal is first to obtain an equation for $G_{\sigma}^{<}(t, \varepsilon)$ in the presence of Coulomb interactions and oscillating voltages.

III. EQUATION OF MOTION

The temporal evolution of the dot Green's function is determined from the commutator of d_{σ} with H (Heisenberg equation of motion). It is convenient to consider the time-ordered Green's function $G_{\sigma}(t, t') \equiv \langle\langle d_{\sigma}, d_{\sigma}^{\dagger} \rangle\rangle(t, t') = -i\langle\mathcal{T}d_{\sigma}(t)d_{\sigma}^{\dagger}(t')\rangle$. After some straightforward steps, we find that the time-ordered Green's function satisfies the integral (Dyson) equation

$$\begin{aligned} G_{\sigma}(t, t') &= g_{\sigma}(t, t') + \int \frac{ds}{\hbar} G_{\sigma}(t, s)\varepsilon_{ac}(s)g_{\sigma}(s, t') \\ &\quad + \int \frac{ds}{\hbar} \int \frac{ds'}{\hbar} G_{\sigma}(t, s')\Sigma_0(s', s)g_{\sigma}(s, t') \\ &\quad + U \int \frac{ds}{\hbar} \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, s)g_{\sigma}(s, t'), \end{aligned} \quad (7)$$

where $\bar{\sigma} = -\sigma$. $\Sigma_0(t, t') = \sum_k |V_k|^2 g_k(t, t')$ is the tunnel self-energy with $g_{k(\sigma)}(t, t')$ the isolated reservoir (dot) Green's function in the absence of the ac driving potential. The retarded/advanced and lesser Green's functions can then be obtained from the Langreth's analytic continuation rules [42].

To consider the effect of U , we now generate an additional integral equation for the correlator $\langle\langle d_{\sigma}, d_{\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, t')$ in Eq. (7):

$$\begin{aligned} \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, t') &= \langle n_{\bar{\sigma}}(t) \rangle g_{\sigma}(t, t') \\ &\quad + \int \frac{ds}{\hbar} \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, s)\varepsilon_{ac}(s)g_{\sigma}(s, t') \\ &\quad + \sum_k \int \frac{ds}{\hbar} \left(V_k \langle\langle d_{\sigma}, c_{k\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, s) \right. \\ &\quad \left. + V_k \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}c_{k\bar{\sigma}}d_{\bar{\sigma}} \rangle\rangle(t, s) \right. \\ &\quad \left. - V_k^* \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}c_{k\bar{\sigma}}^{\dagger}c_{k\bar{\sigma}} \rangle\rangle(t, s) \right) g_{\sigma}(s, t') \\ &\quad + U \int \frac{ds}{\hbar} \langle\langle d_{\sigma}, d_{\sigma}^{\dagger}n_{\bar{\sigma}} \rangle\rangle(t, s)g_{\sigma}(s, t'), \end{aligned} \quad (8)$$

where three new correlation functions arise. Since we are interested in the Coulomb blockade regime, we can neglect charge and spin excitations. This truncated equation of motion approach is good in the weak tunneling regime or for not very low temperatures, in which case

Kondo correlations can be disregarded [48]. As a consequence, we neglect the spin-flip correlators in Eq. (8):

$$\langle\langle d_\sigma, d_\sigma^\dagger d_{\bar{\sigma}}^\dagger c_{k\bar{\sigma}} \rangle\rangle(t, s) \simeq 0, \quad (9a)$$

$$\langle\langle d_\sigma, d_\sigma^\dagger c_{k\bar{\sigma}} d_{\bar{\sigma}} \rangle\rangle(t, s) \simeq 0. \quad (9b)$$

Next, we calculate the equation of motion for $\langle\langle d_\sigma, c_{k\sigma}^\dagger n_{\bar{\sigma}} \rangle\rangle(t, t')$:

$$\begin{aligned} \langle\langle d_\sigma, c_{k\sigma}^\dagger n_{\bar{\sigma}} \rangle\rangle(t, t') &= \int \frac{ds}{\hbar} V_k^* \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, s) g_k(s, t') \\ &+ \sum_k \int \frac{ds}{\hbar} \left(V_k \langle\langle d_\sigma, c_{k\sigma}^\dagger c_{k\bar{\sigma}}^\dagger d_{\bar{\sigma}} \rangle\rangle(t, s) \right. \\ &\quad \left. - V_k^* \langle\langle d_\sigma, c_{k\sigma}^\dagger d_{\bar{\sigma}}^\dagger c_{k\bar{\sigma}} \rangle\rangle(t, s) \right) g_k(s, t'), \end{aligned} \quad (10)$$

where we neglect reservoir charge and spin excitations for the same reason as discussed above,

$$\langle\langle d_\sigma, c_{k\sigma}^\dagger c_{k\bar{\sigma}}^\dagger d_{\bar{\sigma}} \rangle\rangle(t, s) \simeq 0, \quad (11a)$$

$$\langle\langle d_\sigma, c_{k\sigma}^\dagger d_{\bar{\sigma}}^\dagger c_{k\bar{\sigma}} \rangle\rangle(t, s) \simeq 0. \quad (11b)$$

Combining Eqs. (8) and (10) with Eqs. (9) and (11) we obtain a closed expression for $\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, t')$:

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, t') &= \langle n_{\bar{\sigma}}(t) \rangle g_\sigma(t, t') \\ &+ \int \frac{ds}{\hbar} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, s) \varepsilon_{ac}(s) g_\sigma(s, t') \\ &+ \int \frac{ds}{\hbar} \int \frac{ds'}{\hbar} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, s') \Sigma_0(s', s) g_\sigma(s, t') \\ &+ U \int \frac{ds}{\hbar} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle(t, s) g_\sigma(s, t'). \end{aligned} \quad (12)$$

We have thus derived two coupled integral equations, namely Eqs. (7) and (12), which must be self-consistently solved because Eq. (12) depends on $\langle n_\sigma(t) \rangle$ and to calculate this quantity we need to know $G_\sigma^<(t, t)$ (see Eq. (7)), which depends itself on $\langle n_\sigma(t) \rangle$ via Eq. (12). Further progress can be made by expanding the equations in powers of driving frequency Ω . It is worthwhile to emphasize that Eq. (7) is exact while Eq. (12) is a quite reasonable approximation that works fairly well in the Coulomb blockade regime.

IV. NONINTERACTING CASE

It is instructive to begin our discussion with the independent particle approximation. This is easy to accomplish by setting $U = 0$ in Eq. (7). Thus, we obtain an integral equation that depends on the dot Green's function only,

$$\begin{aligned} g_\sigma(t, t') &= g_\sigma(t, t') + \int \frac{ds}{\hbar} \mathcal{G}_\sigma(t, s) \varepsilon_{ac}(s) g_\sigma(s, t') \\ &+ \int \frac{ds}{\hbar} \int \frac{ds'}{\hbar} \mathcal{G}_\sigma(t, s') \Sigma_0(s', s) g_\sigma(s, t'). \end{aligned} \quad (13)$$

Importantly, we have changed our notation $G \rightarrow \mathcal{G}$ in order to distinguish between the Green's function corresponding to the Coulomb Blockade regime (G) and that for noninteracting electrons (\mathcal{G}). This is done for later convenience since we will show that interacting results can indeed be expressed using noninteracting quantities.

A frequency expansion of Eq. (13) yields (we refer the reader to Appendix B 1 for details):

$$\mathcal{G}_\sigma^{r/a, f}(t, \varepsilon) = \frac{1}{\varepsilon - \varepsilon_\sigma - \varepsilon_{ac}(t) - \Sigma_0^{r/a}(\varepsilon)}, \quad (14a)$$

$$\mathcal{G}_\sigma^{r/a, (1)}(t, \varepsilon) = \frac{i}{\Omega} \partial_t \varepsilon_{ac}(t) \mathcal{G}_\sigma^{r/a, f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_\sigma^{r/a, f}(t, \varepsilon), \quad (14b)$$

$$\mathcal{G}_\sigma^{<, f}(t, \varepsilon) = \mathcal{G}_\sigma^{r, f}(t, \varepsilon) \Sigma_0^<(\varepsilon) \mathcal{G}_\sigma^{a, f}(t, \varepsilon), \quad (14c)$$

$$\begin{aligned} \mathcal{G}_\sigma^{<, (1)}(t, \varepsilon) &= \frac{i}{\Omega} \partial_t \varepsilon_{ac}(t) (\mathcal{G}_\sigma^{a, f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_\sigma^{<, f}(t, \varepsilon) \\ &\quad + \mathcal{G}_\sigma^{<, f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_\sigma^{r, f}(t, \varepsilon)), \end{aligned} \quad (14d)$$

where the superscript “ r/a ” labels the retarded/advanced Green's function and the tunnel self-energies read $\Sigma_0^{r/a}(\varepsilon) = \mp i\Gamma$, $\Sigma_0^<(\varepsilon) = 2i\Gamma f(\varepsilon)$. $\Gamma = \pi |V_k|^2 \rho$ is the hybridization width, which we take as a constant parameter. This is a good approximation when the tunnel probability $|V_k|^2$ and the lead density of states ρ depend weakly on energy, which is the experimentally relevant situation. $f(\varepsilon) = 1/[1 + \exp(\varepsilon - E_F)/k_B T]$ denotes the Fermi-Dirac distribution with E_F the lead Fermi level and T the base temperature.

We consider the spin-degenerate case ($\Delta_Z = 0$). Therefore, the dot level fulfills

$$\varepsilon_\uparrow = \varepsilon_\downarrow \equiv \varepsilon_0, \quad (15)$$

and we can define a total dot occupation $\langle n(t) \rangle_0^f$ as

$$\langle n_\uparrow(t) \rangle_0^f = \langle n_\downarrow(t) \rangle_0^f \equiv \langle n(t) \rangle_0^f / 2. \quad (16)$$

Here, the subscript 0 means “noninteracting”. Using the expressions for the noninteracting Green's functions given by Eqs. (14), the current and mean occupation implied by Eq. (5) become

$$\langle n(t) \rangle_0^f = 2 \int d\varepsilon f(\varepsilon) \mathcal{D}(t, \varepsilon), \quad (17a)$$

$$I_0^{(1)}(t) = -2e \int d\varepsilon (-\partial_\varepsilon f(\varepsilon)) \mathcal{D}(t, \varepsilon) \partial_t \varepsilon_{ac}(t), \quad (17b)$$

$$\langle n(t) \rangle_0^{(1)} = h \int d\varepsilon (-\partial_\varepsilon f(\varepsilon)) \mathcal{D}^2(t, \varepsilon) \partial_t \varepsilon_{ac}(t), \quad (17c)$$

$$I_0^{(2)}(t) = eh \int d\varepsilon (-\partial_\varepsilon f(\varepsilon)) \partial_t (\mathcal{D}^2(t, \varepsilon) \partial_t \varepsilon_{ac}(t)), \quad (17d)$$

where $\mathcal{D}(t, \varepsilon) \equiv \mathcal{D}_\uparrow(t, \varepsilon) = \mathcal{D}_\downarrow(t, \varepsilon)$ is the density of states written as

$$\mathcal{D}_\sigma(t, \varepsilon) = \frac{1}{\pi} \frac{\Gamma}{(\varepsilon - \varepsilon_\sigma - \varepsilon_{ac}(t))^2 + \Gamma^2}. \quad (18)$$

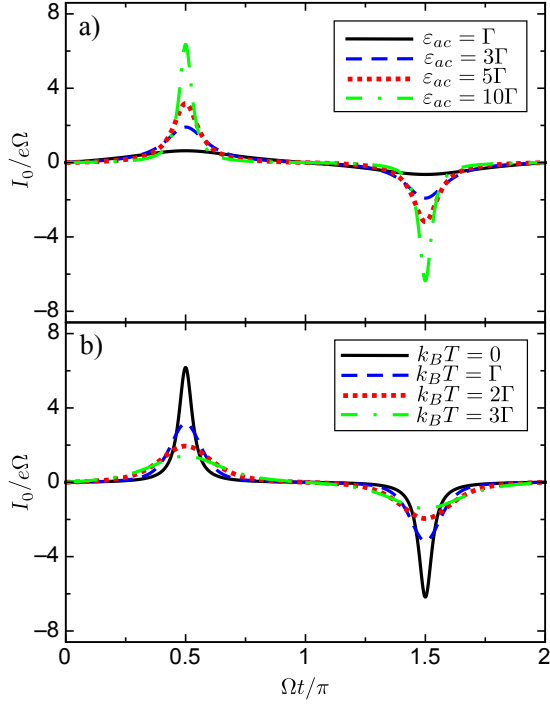


FIG. 2. Noninteracting charge current (up to second order in the ac frequency) as a function time for different ac amplitudes (a) and temperatures (b). Parameters: $\varepsilon_0 = 0$, $\hbar\Omega = 0.02\Gamma$, (a) $k_B T = 0$, and (b) $\varepsilon_{ac} = 10\Gamma$.

Equation (18) is a Breit-Wigner-like density of states which instantaneously changes with time. This is a physically transparent result—in the adiabatic regime the dot spectral function is given by the stationary density of states replacing the dot level ε_0 with the instantaneous variation of the dot potential as a function of time, i.e., $\varepsilon_0 \rightarrow \varepsilon_0 + \varepsilon_{ac}(t)$. In other words, the electron adjusts its dynamics to the slow ac potential. As a consequence, the frozen occupation [Eq. (17a)] is simply given by the integral of the local density states convoluted with the Fermi function. The next order in the Ω expansion [Eq. (17c)] depends on the derivative of $\varepsilon_{ac}(t)$, as it should. For small frequencies, this is a small correction to the frozen occupation. Finally, the capacitive and dissipative currents [Eqs. (17b) and (17d)] are just given by time derivatives of the frozen and the first-order occupations, respectively. At very low temperatures, the main contribution to both current contributions arises from the electrons around the Fermi energy due to the $-\partial_\varepsilon f$ term in the equations.

The total current $I_0(t) = I_0^{(1)}(t) + I_0^{(2)}(t)$ is plotted in Fig. 2(a) as a function of time for different ε_{ac} amplitudes. The results are calculated for zero temperature and very small ac frequencies. In the large amplitude case (green dashed-dotted line), we observe a current peak (dip) in the first (second) half cycle since in the first (second) half cycle an electron is adsorbed (emitted) by the dot. This occurs when the ac modulated dot

level aligns with the Fermi level, $\varepsilon_0 + \varepsilon_{ac} \cos \Omega t = E_F$ (hereafter we set $E_F = 0$). The amplitude of the current peak (dip) is proportional to ε_{ac} , as shown in Eqs. (17b) and (17d). Therefore, the ac amplitude should be larger than Γ for the single-electron source to produce well defined current peaks. This is within experimental reach since $\varepsilon_{ac} \simeq 100 \mu\text{eV}$ [2] and $\Gamma \simeq 10 \mu\text{eV}$. On the other hand, the ac frequency should be $\hbar\Omega = 0.02\Gamma \simeq 0.2 \mu\text{eV}$ and the resulting current peak, given in Fig. 2(a) in units of $e\Omega$, attains values of the order of $I_0 \simeq 0.3 \text{ nA}$, which is experimentally measurable.

At nonzero temperatures, the peaks broaden due to thermal smearing [see Fig. 2(b)]. The reason is clear—for large temperatures (larger than Γ) and fixed ac amplitude the current pulse is distributed among electronic states within $k_B T$ around the Fermi energy and the pulse is not sharply peaked as in the $k_B T = 0$ case. As a consequence, low temperatures smaller than $T \simeq 100 \text{ mK}$ ($= 8.62 \mu\text{eV}$) for $\Gamma \simeq 10 \mu\text{eV}$ are needed to observe single-electron injection into the Fermi sea.

Figure 3 shows the total current for a fixed ε_{ac} as a function of time (horizontal axis) and the dot level position (vertical axis). The peak and dip found in Fig. 2 are also visible in Fig. 3 within a value range of ε_0 . The current resonances shift with time in order to satisfy the resonant condition $\varepsilon_0 + \varepsilon_{ac} \cos \Omega t = E_F$. Notably, for dot levels such that $|\varepsilon_0| > |\varepsilon_{ac}|$ the current is identically zero independently of time, since at those energies the resonant condition is never met.

Now, using Eqs. (6), (17b), and (17d) we derive the following expressions for the differential capacitance and resistance:

$$C_\partial^0(t) = 2e^2 \int d\varepsilon (-\partial_\varepsilon f) \mathcal{D}(t, \varepsilon), \quad (19)$$

$$R_\partial^0(t) = \frac{\hbar}{4e^2} \frac{\int d\varepsilon (-\partial_\varepsilon f) \partial_t (\mathcal{D}^2(t, \varepsilon) \partial_t \varepsilon_{ac}(t))}{\int d\varepsilon (-\partial_\varepsilon f) \mathcal{D}(t, \varepsilon) \int d\varepsilon (-\partial_\varepsilon f) \partial_t (\mathcal{D}(t, \varepsilon) \partial_t \varepsilon_{ac}(t))}, \quad (20)$$

where the dot density of states $\mathcal{D}(t, \varepsilon)$ is given by Eq. (18).

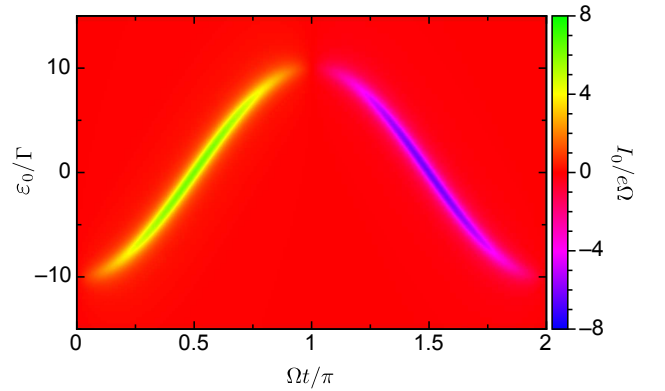


FIG. 3. Noninteracting charge current (up to second order in the ac frequency) as a function of dot energy level (vertical axis) and time (horizontal). Parameters: $\varepsilon_{ac} = 10\Gamma$, $\hbar\Omega = 0.02\Gamma$, and $k_B T = 0$.

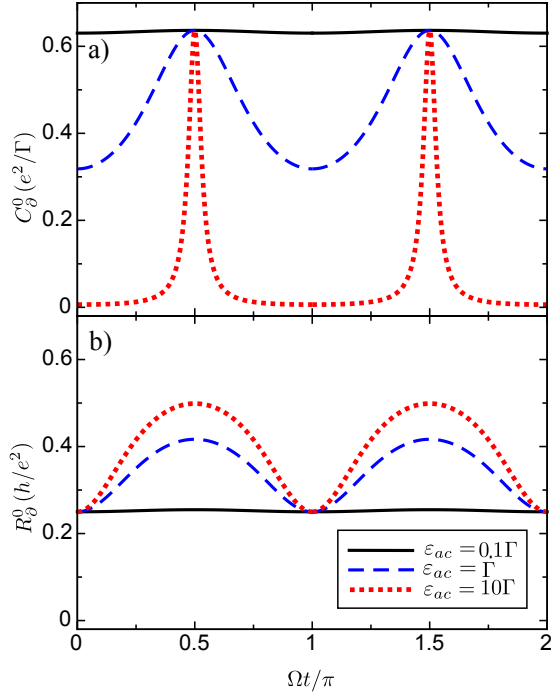


FIG. 4. Differential capacitance (a) and differential resistance (b) as a function of time for different ac amplitudes. Parameters: $\varepsilon_0 = 0$, $\hbar\Omega = 0.02\Gamma$, and $k_B T = 0$.

Clearly, Eq. (19) can be interpreted as an instantaneous quantum capacitance. The physical meaning of the resistance of Eq. (20) is less obvious. Only in linear response does $R_\delta^0(t)$ reduce to the charge relaxation resistance [40].

Figure 4(a) shows $C_\delta^0(t)$ for three specific cases: $\varepsilon_{ac} = 0.1\Gamma$ (solid black line), Γ (dashed blue line) and 10Γ (dotted red line). In the first case, C_δ^0 is nearly time independent and takes on its maximum value as a constant times e^2/Γ . This occurs because in the low ε_{ac} limit the dot density of states has a constant value for any time. As the ac amplitude increases, a strong time dependence becomes apparent in terms of two well defined peaks when the aforementioned resonant condition is fulfilled. We observe that the minima of the dashed blue line never reaches zero since for intermediate values of ε_{ac} the dot energy level is close to E_F and can therefore be populated. In the strongly nonlinear case (dotted red line) the

two peaks become clearly resolved inasmuch as for large ε_{ac} the dot level gets fully depopulated (populated) after electron emission (injection).

In the linear regime ($\varepsilon_{ac} \rightarrow 0$) and zero temperature the quantum capacitance given by Eq. (19) takes a simpler form, $C_\delta^0 = 2e^2\mathcal{D}$, which is time independent and provides information about the dot density of states as we tune ε_0 . In fact, the static density of states becomes $\mathcal{D} = (\Gamma/\pi)/[(E_F - \varepsilon_0)^2 + \Gamma^2]$, i.e., a Lorentzian curve centered at E_F with half-width Γ . Hence, the value marked by the solid black line of Fig. 4(a) is not universal and depends on the position of ε_0 with respect to E_F [23]. In particular, for $\varepsilon_0 = 0$ the capacitance is $C_\delta^0 = 2e^2/\pi\Gamma \simeq 0.64e^2/\Gamma$ as shown in Fig. 4(a). In contrast, the resistance in the linear regime and for $k_B T = 0$ is not sample specific. R_δ^0 becomes time and energy independent [see the solid black line of Fig. 4(b)], taking the universal value $R_\delta^0 = h/4e^2 = 0.25h/e^2$ (we recall that we have two independent channels, one per spin). This quantization of the resistance was earlier predicted by Büttiker *et al.* in 1993 [4] and later demonstrated experimentally for the spin-polarized case by Gabelli *et al.* in 2006 [1]. This resistance can be also connected with an instantaneous Joule law for the dissipated heat in the reservoir [49, 50].

Away from linear response [dashed blue line and dotted red line in Fig. 4(b)], the resistance quickly deviates from the quantized value and becomes both time and energy dependent. With increasing ε_{ac} , R_δ^0 shows two peaks as a result of the resonant condition but, unlike the capacitance, the resistance peaks get higher and more broadened as the ac amplitude increases. Therefore, the dissipation enhances as ε_{ac} grows, which is naturally expected. The enhancement rate is, however, nonlinear and not easily derived from Eq. (20).

V. COULOMB BLOCKADE REGIME

Our aim now is to include Coulomb repulsion between electrons in the quantum dot and to investigate how the noninteracting results discussed in the previous section change in the presence of interactions. In the Coulomb blockade regime, the charging energy is typically a large energy scale in the problem and for small dots one has $U > \pi\Gamma$ [18]. We start from the main results of the equation-of-motion method [Eqs. (7) and (12)]. The frequency expansion can be performed after somewhat lengthy calculations detailed in Appendix B 2. We find the frozen and dynamic (to leading order in Ω) lesser and retarded Green's functions,

$$G_\sigma^{r,f}(t, \varepsilon) = (1 - \langle n_{\bar{\sigma}}(t) \rangle^f) \mathcal{G}_\sigma^{r,f}(t, \varepsilon) + \langle n_{\bar{\sigma}}(t) \rangle^f \mathcal{G}_{U\sigma}^{r,f}(t, \varepsilon), \quad (21a)$$

$$G_\sigma^{r,(1)}(t, \varepsilon) = \left(U \langle n_{\bar{\sigma}}(t) \rangle^{(1)} \mathcal{G}_{U\sigma}^{r,f}(t, \varepsilon) + \frac{i}{\Omega} \partial_t \varepsilon_{ac}(t) \left[(1 - \langle n_{\bar{\sigma}}(t) \rangle^f) \partial_\varepsilon \mathcal{G}_\sigma^{r,f}(t, \varepsilon) \right. \right. \\ \left. \left. + (1 + U \mathcal{G}_{U\sigma}^{r,f}(t, \varepsilon)) \langle n_{\bar{\sigma}}(t) \rangle^f \partial_\varepsilon \mathcal{G}_{U\sigma}^{r,f}(t, \varepsilon) \right] \right) \mathcal{G}_\sigma^{r,f}(t, \varepsilon), \quad (21b)$$

$$G_\sigma^{<,f}(t, \varepsilon) = (1 - \langle n_{\bar{\sigma}}(t) \rangle^f) \mathcal{G}_\sigma^{<,f}(t, \varepsilon) + \langle n_{\bar{\sigma}}(t) \rangle^f \mathcal{G}_{U\sigma}^{<,f}(t, \varepsilon), \quad (21c)$$

$$G_\sigma^{<,(1)}(t, \varepsilon) = \langle n_{\bar{\sigma}}(t) \rangle^{(1)} \left(\mathcal{G}_\sigma^{<,f}(t, \varepsilon) - \mathcal{G}_{U\sigma}^{<,f}(t, \varepsilon) \right) + \frac{i}{\Omega} \partial_t \varepsilon_{ac}(t) \left((1 - \langle n_{\bar{\sigma}}(t) \rangle^f) \left[\mathcal{G}_\sigma^{a,f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_\sigma^{<,f}(t, \varepsilon) + \mathcal{G}_\sigma^{<,f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_\sigma^{r,f}(t, \varepsilon) \right] \right. \\ \left. + \langle n_{\bar{\sigma}}(t) \rangle^f \left[\mathcal{G}_{U\sigma}^{a,f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_{U\sigma}^{<,f}(t, \varepsilon) + \mathcal{G}_{U\sigma}^{<,f}(t, \varepsilon) \partial_\varepsilon \mathcal{G}_{U\sigma}^{r,f}(t, \varepsilon) \right] \right). \quad (21d)$$

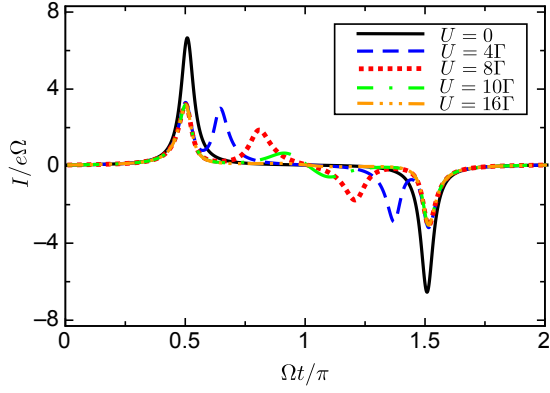


FIG. 5. Interacting charge current (Coulomb blockade regime) as a function of time for different values of the charging energy U . Parameters: $\varepsilon_0 = 0$, $\varepsilon_{ac} = 10\Gamma$, $\hbar\Omega = 0.02\Gamma$, and $k_B T = 0$.

Here, we express the interacting Green's functions (denoted by G) in terms of the noninteracting Green's functions [denoted by \mathcal{G} and explicitly written in Eqs. (14)]. We indicate with the subscript U that $\mathcal{G}_{U\sigma}$ is the noninteracting Green's function with the replacement $\varepsilon_0 \rightarrow \varepsilon_0 + U$.

We focus on the nonmagnetic case as in Sec. IV. Notably, we find that the interacting occupations derived from Eqs. (21c) and (21d) can be also connected with the noninteracting densities of Eqs. (17a) and (17c):

$$\begin{aligned} \langle n(t) \rangle^f &= \frac{2 \langle n(t) \rangle_0^f}{2 + \langle n(t) \rangle_0^f - \langle n(t) \rangle_{0U}^f}, \\ \langle n(t) \rangle^{(1)} &= 2 \frac{\langle n(t) \rangle_0^{(1)} (2 - \langle n(t) \rangle_{0U}^f) + \langle n(t) \rangle_{0U}^{(1)} \langle n(t) \rangle_0^f}{(2 + \langle n(t) \rangle_0^f - \langle n(t) \rangle_{0U}^f)^2}, \end{aligned} \quad (22)$$

$$(23)$$

where the subscript U again designates the substitution $\varepsilon_0 \rightarrow \varepsilon_0 + U$. From the latter equations we can immediately derive the capacitive and dissipative currents,

$$I^{(1)}(t) = 2 \frac{I_0^{(1)}(t) (2 - \langle n(t) \rangle_{0U}^f) + I_{0U}^{(1)}(t) \langle n(t) \rangle_0^f}{(2 + \langle n(t) \rangle_0^f - \langle n(t) \rangle_{0U}^f)^2}, \quad (24)$$

$$I^{(2)}(t) = e \partial_t \langle n(t) \rangle^{(1)}, \quad (25)$$

These are the central results of our paper. In particular, Eq. (24) states that the leading-order current for interacting electrons is given by a weighted sum of the noninteracting expressions [Eq. (17b)] corresponding to two resonances, namely, ε_0 and $\varepsilon_0 + U$. This finding is particularly appealing since it anticipates the main transformation of the noninteracting results—the current pulses, for moderate values of U , will split into two separate peaks. We will now confirm our expectation with exact numerical results.

Figure 5 shows the behavior of total charge current, $I^{(1)}(t) + I^{(2)}(t)$, as a function of time for $\varepsilon_0 = 0$, $\varepsilon_{ac} = 10\Gamma$, and different values of the charging energy U at zero temperature. For $U = 0$ (solid black line) we reproduce the curve from Fig. 2 for comparison with the nonzero U results. Strikingly enough, for $U = 4\Gamma$ (dashed blue line) both the peak and the dip split into two resonances each. Therefore, we have *two* consecutive electron emissions (absorptions) whenever ε_0 and $\varepsilon_0 + U$ cross above (below) the lead Fermi level

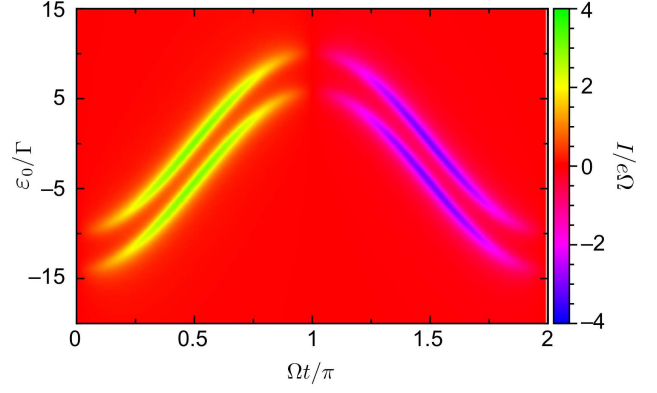


FIG. 6. Interacting charge current (Coulomb blockade regime) as a function of the dot energy level (vertical axis) and time (horizontal axis). Parameters: $\varepsilon_{ac} = 10\Gamma$, $U = 4\Gamma$, $\hbar\Omega = 0.02\Gamma$, and $k_B T = 0$.

thus satisfying the resonant condition. Furthermore, the amplitude of each resonance becomes reduced as compared with the noninteracting case. This can be understood if one recalls that in the noninteracting case the dot level is spin-degenerate while for interacting electrons each resonance can be occupied with at most one electron due to Pauli blocking. The splitting gradually increases as U is enhanced [see the transition to the dotted red line ($U = 8\Gamma$) and the dashed-dotted green line ($U = 10\Gamma$)] because the second resonance shifts to higher (lower) times as compared with the peak (dip) originally present for $U = 0$. This second resonance decreases its amplitude until it vanishes for $U > \varepsilon_{ac} = 10\Gamma$ (dashed-dotted orange curve). This effect can be explained if we notice that the resonance $\varepsilon_0 + U$ never crosses the Fermi level if $U > \varepsilon_{ac}$. In other words, the two resonances can be occupied (at least partially) only if $U < E_F + \varepsilon_{ac} - \varepsilon_0$.

In Fig. 6 we present the total current as a function of time and the dot energy level position for a fixed charging energy ($U = 4\Gamma$) and ac amplitude ($\varepsilon_{ac} = 10\Gamma$). We see clear signatures of the peak splitting for a wide range of energy levels since as we tune ε_0 the resonant condition is satisfied at different times, as explained above.

Importantly, electron-electron interactions affect the charge quantization in a mesoscopic capacitor. From the total charge current we can obtain the charge Q emitted for a half of a period in terms of the occupation:

$$Q = \int_0^{\tau/2} dt I(t) = e (\langle n(t = \tau/2) \rangle - \langle n(t = 0) \rangle), \quad (26)$$

where $\tau = 2\pi/\Omega$ is the ac period and $\langle n(t) \rangle = \langle n(t) \rangle^f + \langle n(t) \rangle^{(1)}$ is the total occupation given by the sum of Eqs. (22) and (23) to lowest order in frequency. Figure 7 shows Q as a function of the ac amplitude for different values of the Coulomb strength, U . For $U = 0$ we recover a full charge quantization at large values of the harmonic potential [40]. With increasing electron-electron interactions, a new plateau emerges for intermediate values of ε_{ac} . This phenomenon is exclusively due to Coulomb repulsion effects since when $U > \Gamma$ the dot energy level is split into two resonances, ε_0 and $\varepsilon_0 + U$, which are occupied sequentially as ε_{ac} grows. It is worth noting that the transition between plateaus shifts to larger values of energy as U increases because when $U > \varepsilon_{ac}$

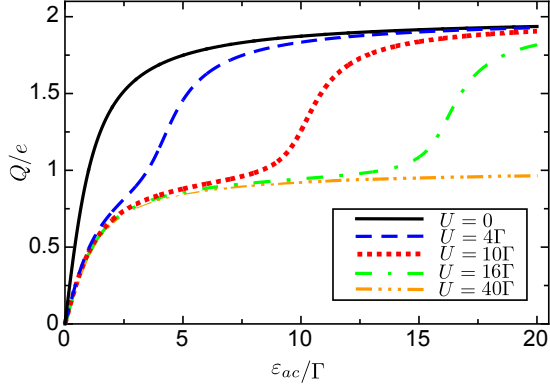


FIG. 7. Charge (Coulomb blockade regime) as a function of the ac amplitude ε_{ac} . Parameters: $\varepsilon_0 = 0$, $\hbar\Omega = 0.02\Gamma$, and $k_B T = 0$.

only the resonance at ε_0 is able to fulfill the resonant condition and the second plateau ceases to be visible. Therefore, it is crucial to take into account electron-electron interactions to give precise predictions on the charge quantization amplitude and its domain.

Let us turn now to the differential capacitance and resistance. In Eqs. (19) and (20) we obtained their full expressions for noninteracting electrons. When interactions are present, we should combine Eq. (6) together with Eqs. (24) and (25) to arrive at the following relation:

$$C_\partial(t) = 2 \frac{C_\partial^0(t)(2 - \langle n(t) \rangle_{0U}^f) + C_\partial^{0U}(t) \langle n(t) \rangle_0^f}{(2 + \langle n(t) \rangle_0^f - \langle n(t) \rangle_{0U}^f)^2}. \quad (27)$$

Remarkably, we again find the nice result that the Coulomb-blockaded capacitance $C_\partial(t)$ can be written in terms of a weighted sum of noninteracting capacitances renormalized by interactions. The weight factors depend themselves on shifted occupations calculated in the absence ($\langle n(t) \rangle_0^f$) and in the presence ($\langle n(t) \rangle_{0U}^f$) of interactions. Nevertheless, the analytic expression for the resistance is too lengthy to be included here. For the numerical calculations we shall use the definition

$$R_\partial(t) = e \frac{I^{(2)}(t)}{C_\partial(t) \partial_t (C_\partial(t) (\partial_t \varepsilon_{ac}(t)))}. \quad (28)$$

In Fig. 8 we plot Eqs. (27) and (28) as a function of time for different Coulomb strengths. In the top panel [Fig. 8(a)], we depict $C_\partial(t)$ in units of e^2/Γ . As expected, the capacitance, which mimics the instantaneous density of states, undergoes a double splitting for finite charging energies (cf. the case $U = 0$ showed in solid black line with the case $U = 8\Gamma$ in dashed blue line). The four-peak structure arises from multiple passings (upward and downward) of the resonances ε_0 and $\varepsilon_0 + U$ across the Fermi energy. Our calculations predict that four peaks (two in each half cycle) will appear in the Coulomb blockade regime ($U > \pi\Gamma$) and for sufficiently low temperature. Further increase of U leads to a recovery of the two peaks but with reduced amplitude. In general, for energies $U > E_F + \varepsilon_{ac} - \varepsilon_0$ (with $\varepsilon_0 > 0$) the resonance lying at $\varepsilon_0 + U$ is not able to fulfill the resonant condition and we recover the $U = 0$ case but with half-height peaks due to the $1/2$ occupation (on average) of each spin level.

We show the differential resistance R_∂ in Fig. 8(b). Already for $U = 0$ we find departures from the universal charge relax-

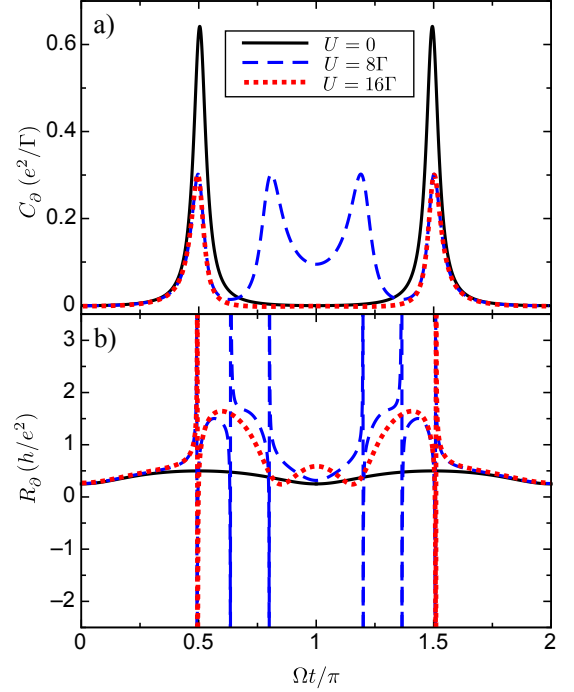


FIG. 8. Differential capacitance (a) differential resistance (b) as a function of time for different values of the charging energy U . Parameters: $\varepsilon_0 = 0$, $\varepsilon_{ac} = 10\Gamma$, and $k_B T = 0$.

ation resistance value $h/4e^2$. These deviations are stronger as U increases and lead to *negative* values of R_∂ for certain values of time. Therefore, we cannot identify the product $C_\partial R_\partial$ with a delay time since this interpretation is physically meaningful in linear response only. In fact, at some points the resistance diverges. Analogous resistance divergences have been found in the thermoelectric transport [37] but here the effect is purely electric. Equation (28) dictates that the differential resistance is inversely proportional to the derivative of the differential capacitance. As a consequence, R_∂ diverges whenever this derivative vanishes. This implies that the resistance divergences are correlated with the maxima or minima of C_∂ , as can be easily inferred from a close inspection of Figs. 8(a) and 8(b).

A natural question is then whether the strong fluctuations of the nonlinear resistance away from its quantized value persist in the linear regime. To examine this, we take the limit $\varepsilon_{ac} \rightarrow 0$ in Eqs. (27) and (28). We find for $k_B T = 0$ the expressions

$$C_\partial = 4 \frac{\mathcal{D}(2 - \langle n \rangle_{0U}^f) + \mathcal{D}_U \langle n \rangle_0^f}{(2 + \langle n \rangle_0^f - \langle n \rangle_{0U}^f)^2}, \quad (29)$$

$$R_\partial = \frac{h}{8e^2} \frac{\mathcal{D}^2(2 - \langle n \rangle_{0U}^f) + \mathcal{D}_U^2 \langle n \rangle_0^f}{(\mathcal{D}(2 - \langle n \rangle_{0U}^f) + \mathcal{D}_U \langle n \rangle_0^f)^2} \times (2 + \langle n \rangle_0^f - \langle n \rangle_{0U}^f)^2, \quad (30)$$

where $\mathcal{D} = \Gamma/[(E_F - \varepsilon_0)^2 + \Gamma^2]$ and $\mathcal{D}_U = \Gamma/[(E_F - \varepsilon_0 - U)^2 + \Gamma^2]$. Interestingly, Eqs. (29) and (30) depend on the mean frozen occupation. The capacitance is a weighted sum of densities of states and will therefore show two peaks at $\varepsilon_0 \simeq E_F$ and $\varepsilon_0 \simeq E_F - U$ [see Fig. 9(a) where we depict the capacitance as a function of the dot level]. Even in the pres-

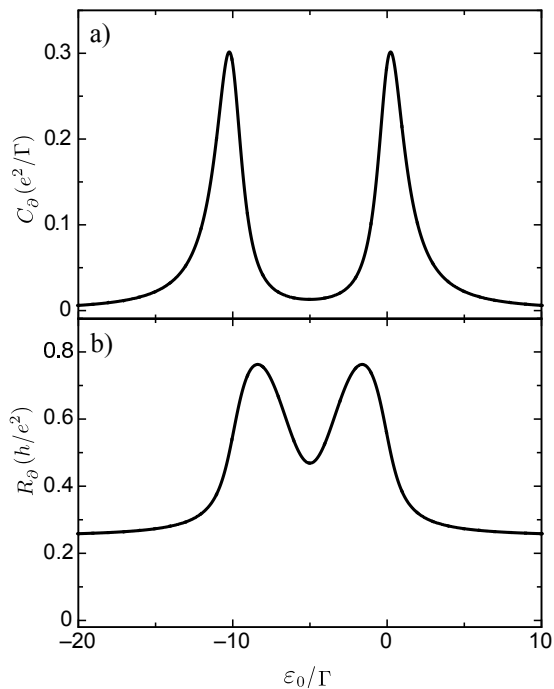


FIG. 9. Quantum capacitance (a) and charge relaxation resistance (b) as a function of dot energy level in the linear regime, $\varepsilon_{ac} \rightarrow 0$, and for interacting electrons in the Coulomb-blockade regime. Parameters: $U = 10\Gamma$, and $k_B T = 0$.

ence of interactions the capacitance can be traced back to a spectroscopic measure of the dot spectral function. However, the charge relaxation resistance is no longer constant as in the noninteracting case. In Fig. 9(b) we observe a strong energy dependence of R_δ with ε_0 . Only when the dot level is clearly off resonance (either $\varepsilon_0 \gg \Gamma$ or $\varepsilon_0 \ll \Gamma$) do we recover the universal value $h/4e^2$. In both cases the reason is clear—either for ε_0 well above E_F or for a deep level configuration, interactions play no role and the noninteracting result is restored. In the electron-hole symmetry point [$\varepsilon_0 = (E_F - U)/2$] the system behaves effectively as a single channel conductor because the occupation per spin is $1/2$. For dot energies in between the electron-hole symmetry point and the off-resonant situation, the charge relaxation resistance acquires its maximum value, which is sample dependent. We attribute this resistance increase to the maximal charge fluctuations that operate around the point $\varepsilon_0 \simeq -\Gamma$ and its symmetric counterpart $\varepsilon_0 \simeq U - \Gamma$. We notice that significant enhancements of R_δ have been previously reported in the literature for interacting RC circuits [31, 32].

VI. CONCLUSIONS

In summary, we have investigated Coulomb blockade effects in a coherent source of single-electrons driven by a monochromatic excitation. Using a nonequilibrium Green's function approach valid for arbitrarily large amplitudes of the ac potential, we have found that the current peaks associated to electron emission and absorption become split in the Coulomb

blockade regime. The effect is particularly intense for the emitted charge, with additional quantization steps as a function of the ac forcing. Our model is capable of describing the noninteracting case ($U = 0$) up to strong interactions ($U \rightarrow \infty$) within the Coulomb blockade regime. While for $U = 0$ our theory produces two-electron or two-hole pulses, for $U \rightarrow \infty$ our model predicts single-electron or single-hole pulses. For intermediate values of U one may have two single-electron or single-hole pulses separated in time. Our model system is a mesoscopic capacitor but our results are equally relevant for different single-electron sources such as those formed with dopant atoms in silicon [51–53] or dots embedded in coplanar cavities [54–57].

Further investigations should address the role of cotunneling processes which are dominant in the Coulomb blockade valley at temperatures $k_B T \ll \Gamma$. One possibility is to relax the conditions given by Eqs. (9) and (11) and to make a step further in the equation-of-motion hierarchy. In particular, spin-flip cotunneling processes would lead to Kondo correlations that would alter the picture discussed here. In general, we expect the minimum between current peaks (dips) to rise (lower) due to the buildup of a many-body Kondo resonance pinned at the Fermi energy. An additional peak should then appear in the quantum capacitance since it is proportional to the local density of states. However, a new energy scale ($k_B T_K$ with T_K the Kondo temperature) would arise and a more careful analysis should be carried out.

Another assumption of our model is the spin degeneracy in both the dot level and the coupled reservoir [cf. Eq. (15)]. Introducing a Zeeman splitting Δ_Z would lead to extra splittings that would compete with the existing ones depending on the strength of Δ_Z as compared with Γ , U and $k_B T$. We note that the original experiments by Fève *et al.* [2] applied a strong magnetic field that drove the system into the quantum Hall regime. Moreover, the dot coupled to a gate with a large capacitance and charging effects were then negligible. To test our predictions, we would need a smaller dot in the absence of magnetic fields (or with Zeeman fields smaller than the characteristic energy scales).

Finally, we have focused on the adiabatic regime (low frequencies). This approximation is valid if one is interested in the capacitance and the charge relaxation resistance. Arbitrary frequencies are beyond the scope of the present work but are certainly interesting (for $U = 0$ see, e.g., Refs. [58, 59]). In fact, for larger frequencies (larger than the GHz scale considered in this work) photon-assisted tunneling takes place [60, 61] and our frequency expansion breaks down. It would be highly desirable to take into account large frequencies and amplitudes in a unified framework for the purely ac transport of electrons in nanostructures.

ACKNOWLEDGMENTS

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Appendix A: Fourier transform and mixed time-energy representation

The double Fourier transformation and its inverse are defined as

$$\mathcal{G}(t, t') = \sum_{m, n} \int \frac{d\varepsilon}{2\pi} e^{-i(\varepsilon + m\hbar\Omega)t/\hbar} e^{i(\varepsilon + n\hbar\Omega)t'/\hbar} \mathcal{G}(m - n, \varepsilon_n), \quad (\text{A1})$$

$$\mathcal{G}(m - n, \varepsilon_n) = \int_0^\tau \frac{dt}{\tau} \int \frac{dt'}{\hbar} e^{i(\varepsilon + m\hbar\Omega)t/\hbar} e^{-i(\varepsilon + n\hbar\Omega)t'/\hbar} \mathcal{G}(t, t'), \quad (\text{A2})$$

where m and n are integers, $\tau = 2\pi/\Omega$ is the ac period, and $\varepsilon_n = \varepsilon + n\hbar\Omega$. Notice that only the states whose energies differ by integer times $\hbar\Omega$ can be coupled. It is convenient to employ the mixed time-energy representation

$$\mathcal{G}(t, \varepsilon) = \sum_n e^{-in\Omega t} \mathcal{G}(n, \varepsilon). \quad (\text{A3})$$

The Fourier transform can then be written in the form

$$\mathcal{G}(t, t') = \int \frac{d\varepsilon}{2\pi} e^{-i\varepsilon(t-t')/\hbar} \mathcal{G}(t, \varepsilon) \quad (\text{A4})$$

and the corresponding inverse Fourier transforms are given by

$$\mathcal{G}(t, \varepsilon) = \int \frac{dt'}{\hbar} e^{i\varepsilon(t-t')/\hbar} \mathcal{G}(t, t'), \quad (\text{A5})$$

$$\mathcal{G}(n, \varepsilon) = \int_0^\tau \frac{dt}{\tau} e^{in\Omega t} \mathcal{G}(t, \varepsilon), \quad (\text{A6})$$

respectively.

Appendix B: Frequency expansion

We begin by applying the double Fourier transform Eq. (A2) to Eqs. (7) and (12):

$$\begin{aligned} G_\sigma(n, \varepsilon) &= g_\sigma(n, \varepsilon) + \sum_p G_\sigma(n - p, \varepsilon_p) [\varepsilon_{ac} g_\sigma](p, \varepsilon) \\ &+ \sum_{p, q} G_\sigma(n - p, \varepsilon_p) \Sigma_0(p - q, \varepsilon_q) g_\sigma(q, \varepsilon) \\ &+ U \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n - p, \varepsilon_p) g_\sigma(p, \varepsilon), \quad (\text{B1}) \end{aligned}$$

$$\begin{aligned} \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n, \varepsilon) &= [\langle n_{\bar{\sigma}} \rangle g_\sigma](n, \varepsilon) \\ &+ \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n - p, \varepsilon_p) [\varepsilon_{ac} g_\sigma](p, \varepsilon) \\ &+ \sum_{p, q} \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n - p, \varepsilon_p) \Sigma_0(p - q, \varepsilon_q) g_\sigma(q, \varepsilon) \\ &+ U \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n - p, \varepsilon_p) g_\sigma(p, \varepsilon). \quad (\text{B2}) \end{aligned}$$

The retarded/advanced and lesser Green's functions then follow from Eqs. (B1) and (B2) by applying the Langreth's rules [42].

1. Noninteracting case

In the noninteracting case, we set $U = 0$ and therefore $\langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle (n, \varepsilon)$ in Eqs. (B1) and (B2) is neglected.

a. *Retarded and advanced Green's function*

The retarded/advanced dot Green's function is given by

$$\begin{aligned} \mathcal{G}_\sigma^{r/a}(n, \varepsilon) &= g_\sigma^{r/a}(n, \varepsilon) + \sum_p \mathcal{G}_\sigma^{r/a}(n - p, \varepsilon_p) [\varepsilon_{ac} g_\sigma^{r/a}](p, \varepsilon) \\ &+ \sum_{p, q} \mathcal{G}_\sigma^{r/a}(n - p, \varepsilon_p) \Sigma_0^{r/a}(p - q, \varepsilon_q) g_\sigma^{r/a}(q, \varepsilon) \quad (\text{B3}) \end{aligned}$$

with

$$g_\sigma^{r/a}(n, \varepsilon) = \frac{\delta_{n,0}}{\varepsilon - \varepsilon_\sigma \pm i0^+} = \delta_{n,0} g_\sigma^{r/a}(\varepsilon), \quad (\text{B4a})$$

$$\Sigma_0^{r/a}(m - n, \varepsilon_n) = \mp i \delta_{m,n} \Gamma(\varepsilon_n) = \delta_{m,n} \Sigma_0^{r/a}(\varepsilon_n), \quad (\text{B4b})$$

$$[\varepsilon_{ac} g_\sigma^{r/a}](n, \varepsilon) = \frac{\varepsilon_{ac}}{2} (\delta_{n,1} + \delta_{n,-1}) g_\sigma^{r/a}(\varepsilon), \quad (\text{B4c})$$

where $\Gamma(\varepsilon_n) = 2\pi |V_k|^2 \rho(\varepsilon_n)$ and $\rho(\varepsilon_n) = \sum_k \delta(\varepsilon_n - \varepsilon_k)$ is the reservoir density of states.

Introducing Eq. (B4) into Eq. (B3), we find

$$\mathcal{G}_\sigma^{r/a}(n, \varepsilon) = \left(\delta_{n,0} + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^{r/a}(n - p, \varepsilon_p) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon), \quad (\text{B5})$$

where

$$\mathcal{G}_\sigma^{r/a}(\varepsilon) = \frac{1}{\varepsilon - \varepsilon_\sigma - \Sigma_0^{r/a}(\varepsilon)}. \quad (\text{B6})$$

We now expand in powers of $\hbar\Omega$

$$\begin{aligned} \mathcal{G}(n - p, \varepsilon_p) &= \mathcal{G}^f(n - p, \varepsilon) \\ &+ \hbar\Omega \left(p \partial_\varepsilon \mathcal{G}^f(n - p, \varepsilon) + \mathcal{G}^{(1)}(n - p, \varepsilon) \right) + \dots, \quad (\text{B7}) \end{aligned}$$

and substitute it in Eq. (B5) to find

$$\mathcal{G}_\sigma^{r/a, f}(n, \varepsilon) = \left(\delta_{n,0} + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^{r/a}(n - p, \varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon), \quad (\text{B8})$$

$$\begin{aligned} \mathcal{G}_\sigma^{r/a, (1)}(n, \varepsilon) &= \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left(p \partial_\varepsilon \mathcal{G}_\sigma^{r/a, f}(n - p, \varepsilon) \right. \\ &\quad \left. + \mathcal{G}_\sigma^{r/a, (1)}(n - p, \varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon). \quad (\text{B9}) \end{aligned}$$

Using Eq. (A3) and taking into account the wide band limit $\Gamma(\varepsilon) = \Gamma$, which is a good approximation for reservoirs with flat densities of states, we arrive at Eqs. (14a) and (14b) of the main text.

b. Lesser Green's function

The lesser Green's function for the quantum dot electrons can be obtained as

$$\begin{aligned} \mathcal{G}_\sigma^<(n, \varepsilon) = & \delta_{n,0} \mathcal{G}_\sigma^<(n, \varepsilon) + \sum_p \left(\mathcal{G}_\sigma^r(n-p, \varepsilon_p) [\varepsilon_{ac} \mathcal{G}_\sigma^<](p, \varepsilon) + \mathcal{G}_\sigma^<(n-p, \varepsilon_p) [\varepsilon_{ac} \mathcal{G}_\sigma^a](p, \varepsilon) \right) + \sum_{p,q} \left(\mathcal{G}_\sigma^r(n-p, \varepsilon_p) \Sigma_0^r(p-q, \varepsilon_q) \mathcal{G}_\sigma^<(q, \varepsilon) \right. \\ & \left. + \mathcal{G}_\sigma^r(n-p, \varepsilon_p) \Sigma_0^<(p-q, \varepsilon_q) \mathcal{G}_\sigma^a(q, \varepsilon) + \mathcal{G}_\sigma^<(n-p, \varepsilon_p) \Sigma_0^a(p-q, \varepsilon_q) \mathcal{G}_\sigma^a(q, \varepsilon) \right), \quad (\text{B10}) \end{aligned}$$

where

$$\mathcal{G}_\sigma^<(n, \varepsilon) = 2\pi i \delta_{n,0} \delta(\varepsilon - \varepsilon_\sigma) f(\varepsilon_\sigma) = \delta_{n,0} \mathcal{G}_\sigma^<(\varepsilon), \quad (\text{B11a})$$

$$\Sigma_0^<(m-n, \varepsilon_n) = 2i \delta_{m,n} \Gamma(\varepsilon_n) f(\varepsilon_n) = \delta_{m,n} \Sigma_0^<(\varepsilon_n), \quad (\text{B11b})$$

$$[\varepsilon_{ac} \mathcal{G}_\sigma^<](n, \varepsilon) = \frac{\varepsilon_{ac}}{2} (\delta_{n,1} + \delta_{n,-1}) \mathcal{G}_\sigma^<(\varepsilon). \quad (\text{B11c})$$

Introducing Eqs. (B4) and (B11) into Eq. (B10) and using $\mathcal{G}_\sigma^{r,-1}(\varepsilon) \mathcal{G}_\sigma^<(\varepsilon) = 0$ we find

$$\begin{aligned} \mathcal{G}_\sigma^<(n, \varepsilon) = & \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^<(n-p, \varepsilon_p) \right. \\ & \left. + \mathcal{G}_\sigma^r(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon). \quad (\text{B12}) \end{aligned}$$

This is the starting point for a series expansion in powers of $\hbar\Omega$. The procedure is analogous to Eq. (B7). Then, the frozen and first order terms in Ω become, respectively,

$$\begin{aligned} \mathcal{G}_\sigma^{<,f}(n, \varepsilon) = & \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^{<,f}(n-p, \varepsilon) \right. \\ & \left. + \mathcal{G}_\sigma^{r,f}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon), \quad (\text{B13}) \end{aligned}$$

$$\begin{aligned} \mathcal{G}_\sigma^{<,(1)}(n, \varepsilon) = & \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left(p \partial_\varepsilon \mathcal{G}_\sigma^{<,f}(n-p, \varepsilon) \right. \right. \\ & \left. \left. + \mathcal{G}_\sigma^{r,(1)}(n-p, \varepsilon) \right) + \mathcal{G}_\sigma^{r,(1)}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon). \quad (\text{B14}) \end{aligned}$$

As discussed earlier, we can again use Eq. (A3) and consider the wide band limit, which leads to Eqs. (14c) and (14d).

2. Interacting case (Coulomb blockade regime)

In order to describe the Coulomb blockade regime, we consider the nonzero U case. Hence, Eq. (B2) must be taken into account.

a. Retarded and advanced Green's function

The retarded/advanced Green's functions are simply derived from Eq. (B1) and (B2), yielding

$$\begin{aligned} \mathcal{G}_\sigma^{r/a}(n, \varepsilon) = & \mathcal{G}_\sigma^{r/a}(n, \varepsilon) + \sum_p \mathcal{G}_\sigma^{r/a}(n-p, \varepsilon_p) [\varepsilon_{ac} \mathcal{G}_\sigma^{r/a}](p, \varepsilon) \\ & + \sum_{p,q} \mathcal{G}_\sigma^{r/a}(n-p, \varepsilon_p) \Sigma_0^{r/a}(p-q, \varepsilon_q) \mathcal{G}_\sigma^{r/a}(q, \varepsilon) \\ & + U \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n-p, \varepsilon_p) \mathcal{G}_\sigma^{r/a}(p, \varepsilon), \quad (\text{B15}) \end{aligned}$$

$$\begin{aligned} \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n, \varepsilon) = & \left[\langle n_{\bar{\sigma}} \rangle \mathcal{G}_\sigma^{r/a} \right] (n, \varepsilon) \\ & + \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n-p, \varepsilon_p) \left[\varepsilon_{ac} \mathcal{G}_\sigma^{r/a} \right] (p, \varepsilon) \\ & + \sum_{p,q} \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n-p, \varepsilon_p) \Sigma_0^{r/a}(p-q, \varepsilon_q) \mathcal{G}_\sigma^{r/a}(q, \varepsilon) \\ & + U \sum_p \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n-p, \varepsilon_p) \mathcal{G}_\sigma^{r/a}(p, \varepsilon), \quad (\text{B16}) \end{aligned}$$

with

$$\left[\langle n_{\bar{\sigma}} \rangle \mathcal{G}_\sigma^{r/a} \right] (n, \varepsilon) = \langle n_{\bar{\sigma}} \rangle_n \mathcal{G}_\sigma^{r/a}(\varepsilon). \quad (\text{B17})$$

where we have used the Fourier expansion

$$\langle n_{\bar{\sigma}}(t) \rangle = \sum_n \langle n_{\bar{\sigma}} \rangle_n e^{-in\Omega t}. \quad (\text{B18})$$

We substitute Eqs. (B4) and (B17) into Eqs. (B15) and (B16) and find

$$\begin{aligned} \mathcal{G}_\sigma^{r/a}(n, \varepsilon) = & \left(\delta_{n,0} + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^{r/a}(n-p, \varepsilon_p) \right. \\ & \left. + U \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n, \varepsilon) \mathcal{G}_\sigma^{r/a}(\varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon), \quad (\text{B19}) \\ \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n, \varepsilon) = & \left(\langle n_{\bar{\sigma}} \rangle_n \right. \\ & \left. + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a}(n-p, \varepsilon_p) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon - U), \quad (\text{B20}) \end{aligned}$$

where $\mathcal{G}_\sigma^{r/a}$ is given by (B6). The solution has poles at ε_σ and $\varepsilon_\sigma + U$ such that it properly describes the Coulomb blockade.

Let us now expand in powers of $\hbar\Omega$. The expansion is based upon Eq. (B7), which leads to

$$\begin{aligned} \mathcal{G}_\sigma^{r/a,f}(n, \varepsilon) = & \left(\delta_{n,0} + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \mathcal{G}_\sigma^{r/a}(n-p, \varepsilon) \right. \\ & \left. + U \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a,f}(n, \varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon), \quad (\text{B21}) \end{aligned}$$

$$\begin{aligned} \mathcal{G}_\sigma^{r/a,(1)}(n, \varepsilon) = & \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left[p \partial_\varepsilon \mathcal{G}_\sigma^{r/a,f}(n-p, \varepsilon) \right. \right. \\ & \left. \left. + \mathcal{G}_\sigma^{r/a,(1)}(n-p, \varepsilon) \right] \right. \\ & \left. + U \langle \langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle \rangle^{r/a,(1)}(n, \varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon), \quad (\text{B22}) \end{aligned}$$

where

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^{r/a, f}(n, \varepsilon) &= \left(\langle n_{\bar{\sigma}} \rangle_n^f \right. \\ &\quad \left. + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^{r/a, f}(n-p, \varepsilon) \right) \mathcal{G}_\sigma^{r/a}(\varepsilon - U), \end{aligned} \quad (\text{B23})$$

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^{r/a, (1)}(n, \varepsilon) &= \left(\langle n_{\bar{\sigma}} \rangle_n^{(1)} \right. \\ &\quad \left. + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left[p \partial_\varepsilon \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^{r/a, f}(n-p, \varepsilon) \right. \right. \\ &\quad \left. \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^{r/a, (1)}(n-p, \varepsilon) \right] \right) \mathcal{G}_\sigma^{r/a}(\varepsilon - U). \end{aligned} \quad (\text{B24})$$

Expressing Eqs. (B21) and (B22) in the mixed time-energy representation leads to Eqs. (21a) and Eqs. (21b).

b. Lesser Green's function

Applying Langreth's rules again, the lesser Green's functions become

$$\begin{aligned} G_\sigma^<(n, \varepsilon) &= \delta_{n,0} g_\sigma^<(n, \varepsilon) + \sum_p \left(G_\sigma^r(n-p, \varepsilon_p) [\varepsilon_{ac} g_\sigma^<](p, \varepsilon) + G_\sigma^<(n-p, \varepsilon_p) [\varepsilon_{ac} g_\sigma^a](p, \varepsilon) \right) + U \sum_p \left(\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^r(n-p, \varepsilon_p) g_\sigma^<(p, \varepsilon) \right. \\ &\quad \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^<(n-p, \varepsilon_p) g_\sigma^a(p, \varepsilon) \right) + \sum_{p,q} \left(G_\sigma^r(n-p, \varepsilon_p) \Sigma_0^r(p-q, \varepsilon_q) g_\sigma^<(q, \varepsilon) + G_\sigma^r(n-p, \varepsilon_p) \Sigma_0^<(p-q, \varepsilon_q) g_\sigma^a(q, \varepsilon) \right. \\ &\quad \left. + G_\sigma^<(n-p, \varepsilon_p) \Sigma_0^a(p-q, \varepsilon_q) g_\sigma^a(q, \varepsilon) \right), \end{aligned} \quad (\text{B25})$$

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n, \varepsilon) &= [\langle n_{\bar{\sigma}} \rangle g_\sigma^<](n, \varepsilon) + \sum_p \left(\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^r(n-p, \varepsilon_p) [\varepsilon_{ac} g_\sigma^<](p, \varepsilon) + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n-p, \varepsilon_p) [\varepsilon_{ac} g_\sigma^a](p, \varepsilon) \right) \\ &+ U \sum_p \left(\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^r(n-p, \varepsilon_p) g_\sigma^<(p, \varepsilon) + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^<(n-p, \varepsilon_p) g_\sigma^a(p, \varepsilon) \right) + \sum_{p,q} \left(\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^r(n-p, \varepsilon_p) \Sigma_0^r(p-q, \varepsilon_q) g_\sigma^<(q, \varepsilon) \right. \\ &\quad \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^r(n-p, \varepsilon_p) \Sigma_0^<(p-q, \varepsilon_q) g_\sigma^a(q, \varepsilon) + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n-p, \varepsilon_p) \Sigma_0^a(p-q, \varepsilon_q) g_\sigma^a(q, \varepsilon) \right), \end{aligned} \quad (\text{B26})$$

with

$$[\langle n_{\bar{\sigma}} \rangle g_\sigma^<](n, \varepsilon) = \langle n_{\bar{\sigma}} \rangle_n g_\sigma^<(\varepsilon). \quad (\text{B27})$$

Inserting Eqs. (B4), (B11), and (B27) into Eqs. (B25) and (B26), and recalling that $g_\sigma^{r-1}(\varepsilon) g_\sigma^<(\varepsilon) = 0$, we get

$$\begin{aligned} G_\sigma^<(n, \varepsilon) &= \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} G_\sigma^<(n-p, \varepsilon_p) \right. \\ &\quad \left. + U \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle^<(n, \varepsilon) + G_\sigma^r(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon), \end{aligned} \quad (\text{B28})$$

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n, \varepsilon) &= \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n-p, \varepsilon_p) \right. \\ &\quad \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^r(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon - U). \end{aligned} \quad (\text{B29})$$

The expansion in Ω yields

$$\begin{aligned} G_\sigma^{<,f}(n, \varepsilon) &= \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} G_\sigma^{<,f}(n-p, \varepsilon) \right. \\ &\quad \left. + U \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{<,f}(n, \varepsilon) + G_\sigma^{r,f}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon), \end{aligned} \quad (\text{B30})$$

$$\begin{aligned} G_\sigma^{<,(1)}(n, \varepsilon) &= \left(\mathcal{G}_\sigma^{r,(1)}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right. \\ &\quad \left. + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left[p \partial_\varepsilon G_\sigma^{<,f}(n-p, \varepsilon) + \mathcal{G}_\sigma^{r,(1)}(n-p, \varepsilon) \right] \right. \\ &\quad \left. + U \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{<,(1)}(n, \varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon), \end{aligned} \quad (\text{B31})$$

and

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^<(n, \varepsilon) &= \left(\frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{<,f}(n-p, \varepsilon) \right. \\ &\quad \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{r,f}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right) \mathcal{G}_\sigma^a(\varepsilon - U), \end{aligned} \quad (\text{B32})$$

$$\begin{aligned} \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{<,(1)}(n, \varepsilon) &= \left(\langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{r,(1)}(n, \varepsilon) \Sigma_0^<(\varepsilon) \right. \\ &\quad \left. + \frac{\varepsilon_{ac}}{2} \sum_{p=\pm 1} \left[p \partial_\varepsilon \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{<,f}(n-p, \varepsilon) \right. \right. \\ &\quad \left. \left. + \langle\langle d_\sigma, d_\sigma^\dagger n_{\bar{\sigma}} \rangle\rangle_\sigma^{r,(1)}(n-p, \varepsilon) \right] \right) \mathcal{G}_\sigma^a(\varepsilon - U). \end{aligned} \quad (\text{B33})$$

Equations (21c) and (21d) then follow easily.

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